

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 53691, 54118,  
: 54119, 54123, 54388, 54396,  
: 54465, 54505, 54529, 54541,  
: 54542, 54543, 54544, 54545,  
: 54546, 54547, 54548, 54549,  
: 54550, 54551, 54552, 54553,  
: 54554, 54555, 54556, 54578,  
: 54579, 54580

X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                         ) ss.:  
COUNTY OF NEW YORK )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 26, 2017, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated January 26, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

*/s/ Forrest Kuffer*  
Forrest Kuffer

Sworn to before me this  
26<sup>th</sup> day of January, 2017  
*/s/ Regina Amporfro*

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Notary Public, State of New York  
No. 01AM6064508  
Qualified in Bronx County  
Commission Expires September 24, 2017

**EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000115972542 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 22479



BANK HAPOALIM (SWITZERLAND), LTD.  
PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP  
ATTN: DOUGLAS R. DAVIS  
1285 AVENUE OF THE AMERICAS  
NEW YORK, NY 10019

BANK HAPOALIM (SWITZERLAND), LTD.  
ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER  
STOCKERSTRASSE 33  
ZURICH CH-8002  
SWITZERLAND

Please note that your claim # 555855-05 in the above referenced case and in the amount of  
\$200,000.00 allowed at \$200,000.00 has been transferred (unless previously expunged by court order)

DEUTSCHE BANK AG, LONDON BRANCH (UK)  
TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD.  
ATTN: MICHAEL SUTTON  
WINCHESTER HOUSE, 1 GREAT WINCHESTER ST  
LONDON EC2N 2DB  
UNITED KINGDOM

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 54578 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 01/26/2017

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 26, 2017.

**EXHIBIT B**



| Claim Name                          | Address Information  |
|-------------------------------------|--|
| BKM HOLDINGS (CAYMAN) LTD.          | DONOVAN, 65 EAST 55TH STREET, NEW YORK, NY 10022   |
| BKM HOLDINGS (CAYMAN) LTD.          | TRANSFEROR: ALTUNED HOLDINGS LLC, C/O DAVIDSON KEMPNER, ATTN: JENNIFER DONOVAN, 65 EAST 55TH STREET, NEW YORK, NY 10022  |
| BKM HOLDINGS (CAYMAN) LTD.          | TRANSFEROR: CBW LLC, C/O DAVIDSON KEMPNER, ATTN: JENNIFER DONOVAN, 65 EAST 55TH STREET, NEW YORK, NY 10022   |
| BKM HOLDINGS (CAYMAN) LTD.          | TRANSFEROR: CBW LLC, C/O DAVIDSON KEMPNER, ATTN: JENNIFER DONOVAN, 65 EAST 55TH STREET, NEW YORK, NY 10022   |
| BKM HOLDINGS (CAYMAN) LTD.          | TRANSFEROR: CBW LLC, C/O DAVIDSON KEMPNER, ATTN: JENNIFER DONOVAN, 65 EAST 55TH STREET, NEW YORK, NY 10022   |
| BKM HOLDINGS (CAYMAN) LTD.          | TRANSFEROR: CBW LLC, C/O DAVIDSON KEMPNER, ATTN: JENNIFER DONOVAN, 65 EAST 55TH STREET, NEW YORK, NY 10022   |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA | ATTN: WILHELM HUELSKEN, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY   |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA | PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064  |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA | ATTN: WILHELM HUELSKEN, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY   |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA | PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064  |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA | ATTN: WILHELM HUELSKEN, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY   |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA | ATTN: WILHELM HUELSKEN, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY   |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA | PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064  |
| CITIGROUP FINANCIAL PRODUCTS, INC.  | TRANSFEROR: GOLDENTREE MASTER FUND II, LTD., ATTN: BRIAN BLESSING, 1615 BRETT ROAD, BLDG 3, NEW CASTLE, DE 19720   |
| CREDIT SUISSE AG                    | TRANSFEROR: CREDIT SUISSE (LUXEMBOURG) S.A., C/O CRAVATH, SWAINE & MOORE LLP, ATTN: TREVOR BROAD, 825 8TH AVENUE, NEW YORK, NY 10019   |
| CREDIT SUISSE AG, HONG KONG BRANCH  | TRANSFEROR: CREDIT SUISSE SINGAPORE BRANCH, C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP, ATTN: STEPHANIE SWEENEY, ESQ., 570 SEVENTH AVENUE, 17TH FLOOR, NEW YORK, NY 10018 |
| CREDIT SUISSE SINGAPORE BRANCH      | ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010  |
| CREDIT SUISSE SINGAPORE BRANCH      | CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019   |
| CREDIT SUISSE SINGAPORE BRANCH      | 1 RAFFLES LINK, #05-02, SINGAPORE 039393 SINGAPORE   |
| CREDIT SUISSE SINGAPORE BRANCH      | RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019   |
| CREDITO EMILIANO S.P.A.             | ATTN: MR. LUCA MARIANI & EFISIO BERTRAND, VIA EMILIA S. PIETRO, 4, REGGIO EMILIA 42100 ITALY   |
| CREDITO EMILIANO S.P.A.             | ATTN: EFISIO BERTRAND, VIA EMILIA SAN PIETRO, N. 4, REGGIO EMILIA 42121 ITALY  |
| DEUTSCHE BANK AG, LONDON BRANCH     | TRANSFEROR: BKM HOLDINGS (CAYMAN) LTD., C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICHAIIDITH; 60 WALL ST., NEW YORK, NY 10005   |
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| Claim Name                           | Address Information   |
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| DEUTSCHE BANK AG, LONDON BRANCH      | TRANSFEROR: MERRILL LYNCH INTERNATIONAL, C/O DEUTSCHE BANK SECURITIES INC.,<br>ATTN: RICH VICHAIIDITH, 60 WALL STREET, NEW YORK, NY 10005       |
| DEUTSCHE BANK AG, LONDON BRANCH      | TRANSFEROR: MERRILL LYNCH INTERNATIONAL, C/O DEUTSCHE BANK SECURITIES INC.,<br>ATTN: RICH VICHAIIDITH, 60 WALL STREET, NEW YORK, NY 10005       |
| DEUTSCHE BANK AG, LONDON BRANCH      | TRANSFEROR: MERRILL LYNCH INTERNATIONAL, C/O DEUTSCHE BANK SECURITIES INC.,<br>ATTN: RICH VICHAIIDITH, 60 WALL STREET, NEW YORK, NY 10005       |
| DEUTSCHE BANK AG, LONDON BRANCH (UK) | TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., ATTN: MICHAEL SUTTON,<br>WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM |
| DEUTSCHE BANK AG, LONDON BRANCH (UK) | TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., ATTN: MICHAEL SUTTON,<br>WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM |
| EFG BANK AG                          | TRANSFEROR: CREDIT SUISSE AG, 24, QUAI DU SEUJET, CP2391, GENEVE 2 1211 SUISSE  |
| EFG BANK AG                          | C/O KWJS & S, ATTN: ST. SWEENEY, 570 7TH AVENUE, 17TH FLOOR, NEW YORK, NY<br>10018-1624   |
| FEDDERSEN, URSULA                    | TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, LANGENSTEIN 18,<br>DANISCHENHAGEN 24229 GERMANY  |
| MORGAN STANLEY SENIOR FUNDING, INC.  | TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC., ATTN: JOHN RAGUSA, 1585<br>BROADWAY - 2ND FLOOR, NEW YORK, NY 10036                             |
| REGIOBANK SOLOTHURN AG               | TRANSFEROR: UBS AG, C/O FINANZ-LOGISTIK AG, ATTN: MARCEL LEDERGERBER,<br>ROSENBERGSTRASSE 16, ST. GALLEN 9004 SWITZERLAND                       |
| UBS AG                               | BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND  |
| UBS AG                               | ATTN: HUGO KOLLER, OQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND   |
| UBS AG                               | BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND  |
| UBS AG                               | BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND  |
| UBS AG                               | ATTN: HUGO KOLLER, OQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND   |
| UNIONE DI BANCHE ITALIANE S.P.A.     | TRANSFEROR: CREDITO EMILIANO S.P.A., ATTN: SILVANA PISANI, VIA CAVRIANA, 20,  |

| <b>Claim Name</b>                | <b>Address Information</b>   |
|----------------------------------|--|
| UNIONE DI BANCHE ITALIANE S.P.A. | MILANO 20134 ITALY   |
| UNIONE DI BANCHE ITALIANE SPA    | TRANSFEROR: BANCA FIDEURAM S.P.A., ATTN: ROBERTA BOSOTTI, PIAZZA VITTORIO VENETO N.8, BERGAMO 24100 ITALY                    |
| VARDE INVESTMENT PARTNERS, LP    | TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402 |
| WEIB, ALEXANDER                  | TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, HAUPTSR. 5, JETZENDORF 85305 GERMANY  |

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|--------------------------------|
| <b>Total Creditor Count 92</b> |
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